

Report of the Committee on Financial and Securities Law 2019

CFinSL has organised a number of seminars covering different aspects of financial and securities law. In 2019, 3 seminars were delivered, covering topics ranging from the investigation and enforcement powers of the Securities and Futures Commission, market misconduct and mis-selling and listed company shareholders disputes.

CFinSL has this year considered 4 government or regulatory consultations on legislative amendments or rule changes. Considering the nature of the issues, the Committee did not advise the Bar Council to make any substantive submission.

Membership:

Norman Nip (Chairman)
Derek Chan, SC (Vice Chairman)
Peter Duncan, SC
Victor Dawes, SC
José Antonio Maurellet, SC
Eva Sit, SC
Laurence Li, SC
Val Chow
Roger Phang
Byron Chiu.

Norman Nip
Chairman
Committee on Financial and Securities Law

3 December 2019